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2 **Influence of a 30 day slow paced breathing** 3 **intervention compared to social media use on** 4 **subjective sleep quality and cardiac vagal activity**

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17 **Abstract:** Breathing techniques are part of traditional relaxation methods, however their influence
18 on psychophysiological variables related to sleep is still unclear. Consequently, the aim of this paper
19 was to investigate the influence of a 30 day slow paced breathing intervention compared to social
20 media use on subjective sleep quality and cardiac vagal activity (CVA, operationalized via high-
21 frequency heart rate variability). Healthy participants ($N=64$, 33 male, 31 female, $M=22.11$, $SD=3.12$)
22 were randomly allocated to an experimental or control group. In the experimental group, they had
23 to perform slow paced breathing for 15 minutes each evening across a 30 day period. This was
24 administered through a smartphone application. The control group used social media (e.g.,
25 Facebook, Instagram, Whatsapp) for the same duration. The night before and after the intervention,
26 their CVA was assessed via a light portable ECG device, and they had to fill out the Pittsburgh Sleep
27 Quality Index questionnaire. Results showed that in comparison to the use of social media, the slow
28 paced breathing technique improved subjective sleep quality and increased overnight CVA, while
29 a tendency was observed for morning awakening CVA. Slow paced breathing appears a promising
30 cost-effective technique to improve subjective sleep quality and cardiovascular function during
31 sleep in young healthy individuals.

32 **Keywords:** Parasympathetic nervous system, cardiac vagal tone, HF-HRV, deep breathing, slow
33 breathing, cardiac coherence, vagus nerve, respiratory sinus arrhythmia, vagal tank theory,
34 neurovisceral integration model

35

36 **1 Introduction**

37 Issues with sleep are a pressing concern for individuals, given they directly impact life quality,
38 and represent a risk factor at several levels [1]. Breathing techniques are part of traditional methods
39 used to improve sleep [2], however their influence on psychophysiological variables related to sleep
40 is still unclear. This paper is aimed to investigate the influence of a slow paced breathing intervention
41 on subjective sleep quality and on a psychophysiological variable linked to relaxation states, cardiac
42 vagal activity (CVA), which reflects the activity of the vagus nerve regulating cardiac functioning [3-
43 5].

44 One of the main hypothesis regarding the cause of sleep disturbances is that they may be
45 associated with a state of hyperarousal [6,7]. Methods aiming to decrease a state of hyperarousal
46 usually target an activation of the parasympathetic nervous system, and more specifically of its main
47 nerve, the vagus nerve [8,9]. One way to do so is to use slow paced breathing [10-13].

48 Spontaneously, most people breathe between 12 and 20 cycles per minute [14,15]. Slow paced
49 breathing refers to the act of voluntarily slowing down breathing rate to a frequency close to 6 cycles
50 per minute (cpm) [12]. The term “paced” means that participants have to follow a visual, auditory,
51 or kinesthetics pacer regulating the duration of inhalation and exhalation phases [for example see
52 16,17]. Importantly, exhalation should last slightly longer than inhalation, provoking a higher
53 increase of CVA due to the activation of the vagus nerve during exhalation [18,19]. According to the
54 resonance frequency model [12,20], four processes help to explain the positive effects of performing
55 slow paced breathing at 6 cpm: 1) the phase relationship between heart rate oscillations and breathing
56 at 6 cpm, 2) the phase relationship between heart rate and blood pressure oscillations at 6 cpm, 3) the
57 activity of the baroreflex, and 4) the resonance characteristics of the cardiovascular system.
58 Combined, those processes are expected to strengthen homeostasis in the baroreceptor [21-23], which
59 results in improving gas exchanges at the level of alveoli and in increasing vagal afferences [12,20].

60 Slow paced breathing is assumed to increase the activity of the afferent branch of the vagus nerve
61 [12,20]. Although it is not possible to non-invasively measure the afferent activity of the vagus nerve,
62 there is a way to operationalize non-invasively the efferent activity of the vagus nerve, and more
63 specifically the activity of the vagus nerve regulating cardiac functioning (i.e., CVA) via heart rate
64 variability [3-5,24,25]. Dozens of parameters can be extracted from heart rate variability analysis,
65 however, only a handful have been found to reflect CVA, and in the current study we operationalize
66 CVA via one of its most common indicators: high-frequency heart rate variability [3-5,24,25].

67 CVA represents the core of several theories [for a summary, see 3], such as the neurovisceral
68 integration model [26], the polyvagal theory [27], or the more recent vagal tank theory [28]. Taken
69 together, those theories highlight the role of CVA in phenomena such as emotion and stress
70 regulation, executive cognitive performance, social functioning and health. In order to better
71 understand CVA functioning, it is important to consider several levels of functioning: resting,
72 reactivity, and recovery [3,28]. Further, CVA can be influenced by many factors [29,30], and slow
73 paced breathing is a straightforward method to increase CVA resting levels [12,16,31].

74 The question whether measuring CVA during the night (CVA_{night}) represents an indicator of
75 sleep quality is still debated. Some evidence points toward an association between lower CVA_{night}
76 and sleep disorders [32], such as with chronic fatigue [33] and insomnia [34]. Higher CVA_{night} has also
77 been related to higher subjective sleep quality [34-36]. However, some authors argue that measuring
78 CVA_{night} across sleep stages does not provide useful information, given the variations observed in
79 CVA during different sleep stages [37], namely CVA withdrawal during Rapid Eye Movement (REM)
80 sleep, and CVA increase during non-REM sleep [38]. Further, Werner and colleagues [37] argue that
81 assessing CVA while sleeping is suboptimal, given CVA is supposed to reflect adaptations to
82 environmental changes, and these do (almost) not occur during the night, so they rather recommend
83 assessing CVA during periods where individuals are awake. In summary, even if CVA_{night}
84 measurement cannot be considered as an index of sleep quality, it may still provide an indication
85 of the restorative status of the body during the night, given it indexes the activity of the parasympathetic
86 nervous system [3,4,15].

87 In order to address the criticisms made to CVA_{night} measurements, authors have suggested to
88 measure CVA during wake periods [37]. Particularly, a quiet awakening morning period (CVA_{morning})
89 has been suggested as a good compromise, given the individual has usually not experienced heavy
90 environmental changes beforehand [39]. CVA_{morning} has already been related to subjective indices of
91 well-being and to physical training adaptations [see for example 39,40], and also more recently to
92 subjective sleep quality measurements [41]. Investigating CVA_{morning} together with CVA_{night}

93 measurements seems therefore an appropriate combination to further understand the effects of slow
94 paced breathing on CVA.

95 To the best of our knowledge, only one previous study investigated the effects of slow paced
96 breathing on sleep [42]. This study, focusing on self-reported insomniacs, aimed to investigate
97 whether a 20min slow paced breathing session (6 cpm), compared to a control condition with paced
98 breathing set at 12 cpm, would enhance objective sleep quality as assessed via polysomnography and
99 CVA. In the slow paced breathing condition, the inhalation and exhalation phases were set to 3s and
100 7s, while no indications were mentioned regarding the inhalation and exhalation phases for the 12
101 cpm breathing condition. In regards to polysomnography, results showed that after a single 6 cpm
102 session before going to sleep, sleep onset latency, number of awakenings, and awakening time during
103 sleep were decreased, while sleep efficiency was increased, in comparison to the 12 cpm breathing
104 condition and to baseline. Regarding CVA, unfortunately the heart rate variability variables
105 mentioned in the paper (total power and R-R intervals) actually don't reflect it [3-5,24,25], therefore
106 it is not possible to draw any conclusions related to CVA. Moreover, heart rate variability was not
107 assessed during the night, but during daytime rest. Consequently, further studies are therefore
108 warranted to better understand the effects of slow paced breathing on subjective sleep quality and
109 CVA, and not only on a short-term single session basis, but also on a long-term intervention basis.

110 In summary, the current study aims to address previous research gaps, investigating the effects
111 of a 30 day slow paced breathing intervention on subjective sleep quality and CVA_{night} and CVA_{morning}.
112 Based on previous research [16,31] and on the resonance frequency model [12], we hypothesize that
113 in comparison to a control condition involving spontaneous breathing, a 30 days slow paced
114 breathing intervention would increase subjective sleep quality as well as CVA_{night} and CVA_{morning}.
115 Finally, due to contradictory evidence [34-37], no hypothesis was formulated regarding the
116 relationship between subjective sleep quality with CVA_{night} and CVA_{morning}.

117 2 Material & Methods

118 2.1 Participants

119 We recruited 70 participants, randomly allocated to the experimental group or to the control
120 group. Due to technical problems ($N=2$) and inability to realize the complete intervention protocol
121 for personal reasons ($N=4$), the data of 64 participants (33 male, 31 female, $M=22.11$, $SD=3.12$, age
122 range = 18 – 29 years old) were analysed. The body mass index (BMI) of participants was in the normal
123 range, from 18.5 to < 25 kg/m². In order to meet the inclusion criteria for the study, participants had
124 to be non-smokers, and should not be suffering from sleep disorders (score lower than 5 on the
125 Pittsburgh Sleep Quality Index) or from cardiovascular diseases (self-reported). All participants gave
126 their informed consent for inclusion before they participated to the study. The study was conducted
127 following the Declaration of Helsinki, and the protocol was approved by the Ethics committee of the
128 German Sport University Cologne (Project identification code 42/2015).

129 2.2 Measures

130 2.2.1 Subjective sleep quality – Pittsburgh Sleep Quality Index

131 In order to measure subjective sleep quality, the German version [43] of the Pittsburgh Sleep
132 Quality Index [PSQI; 44] was used. This self-report questionnaire assesses sleep quality for the four
133 preceding weeks. A total of 18 items serve to generate seven component scores (which values are
134 comprised between 0 and 3): subjective sleep quality, sleep latency, sleep duration, habitual sleep
135 efficiency, sleep disturbances, use of sleeping medication, and daytime dysfunction. A global
136 measure of subjective sleep quality, ranging from 0 to 21, is then calculated, with lower values
137 indicating better sleep quality.

138 2.2.2 Cardiac vagal activity (operationalized via high-frequency heart rate variability)

139 In this study, CVA was operationalized via high-frequency heart rate variability (0.15 – 0.40 Hz)
 140 absolute power calculated via Fast Fourier Transform [3-5]. Additionally, as suggested by Laborde,
 141 Mosley and Thayer [3], additional heart rate variability variables are presented in the descriptive
 142 statistics in Table 1, and the full data set is uploaded as supplementary material. An ECG-device
 143 (Faros 180°, Mega Electronics, Kuopio, Finland) was used during the experiment to assess heart rate
 144 variability, with a sampling rate of 500 Hz. We used two disposable ECG pre-gelled electrodes (Ambu
 145 L-00-S/25, Ambu GmbH, Bad Nauheim, Germany). The negative electrode was placed in the right
 146 infraclavicular fossa (just below the right clavicle) while the positive electrode was placed on the left
 147 side of the chest, below the pectoral muscle in the left anterior axillary line. From ECG recordings we
 148 extracted the heart rate variability variables with Kubios© (University of Eastern Finland, Kuopio,
 149 Finland). The full ECG recording was inspected visually, and artefacts were corrected manually [3].
 150 Short-term morning measurements followed the five minutes duration recommendation [3,4], while
 151 overnight measurements were calculated from the time spent in bed (self-reported by the
 152 participants). As recommended by Laborde, Mosley and Thayer [3], respiratory frequency was also
 153 assessed. In the current study respiratory frequency was computed via the ECG derived respiration
 154 algorithm of Kubios© [45].
 155

156 **Table 1.** Descriptive statistics for subjective variables

	Pre-test				Post-test			
	Experimental		Control		Experimental		Control	
	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>
Time spent in bed	447,19	55,37	450,91	60,18	446,38	55,28	447,66	58,63
PSQI	3,31	1,20	3,44	1,01	2,91	1,38	3,75	1,34

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158 Note: PSQI=Pittsburgh Sleep Quality Index (a lower score indicates a better sleep quality)

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Table 2. *Descriptive statistics for heart rate variability parameters*

	Pre-test								Post-test							
	Experimental				Control				Experimental				Control			
	Morning		Night		Morning		Night		Morning		Night		Morning		Night	
	M	SD	M	SD	M	SD	M	SD	M	SD	M	SD	M	SD	M	SD
Interval R-R	1133.21	188.84	1128.98	151.47	1095.92	185.75	1098.94	120.37	1156.38	182.88	1160.48	177.01	1061.72	148.61	1072.42	133.96
SDNN	123.48	64.87	147.31	49.99	129.65	56.88	160.70	45.68	128.27	55.05	152.98	46.83	111.92	51.47	152.46	43.09
Heart rate	55.22	8.64	55.20	6.97	57.63	11.02	56.72	6.04	54.18	9.00	54.08	8.27	58.46	8.08	58.34	7.88
RMSSD	80.93	34.41	88.14	41.22	92.84	42.61	91.46	37.75	100.38	42.44	104.13	45.77	84.18	43.53	83.30	32.86
pnn50	42.49	19.57	44.52	21.48	48.14	20.04	44.98	16.69	49.79	23.05	50.19	20.62	41.07	18.66	41.62	15.72
LF (FFT) ms ²	2856.38	2712.27	3136.33	1839.50	3688.52	3036.68	3518.83	1883.52	2888.49	2014.11	3619.39	1996.06	3538.59	2993.11	3290.56	1818.72
HF (FFT) ms ²	2158.46	1511.91	2403.97	1751.62	2570.33	1558.90	2523.39	1491.46	2991.32	2234.31	3102.83	1932.98	2184.39	1816.48	2258.43	1448.96
LF/HF (FFT)	1.65	1.28	1.68	0.87	1.84	2.02	1.62	0.81	1.44	1.25	1.37	0.72	1.96	1.40	1.69	0.80
LF (AR) ms ²	3161.06	2214.22	2955.02	1737.62	3860.79	3213.23	3241.82	1782.90	3186.44	2049.94	3433.10	1915.51	3261.93	2336.55	3042.67	1727.27
HF (AR) ms ²	2257.52	1786.46	2712.10	2361.83	3386.60	3508.39	3058.16	2791.85	3620.01	3101.24	3699.39	2959.98	2801.72	3136.46	2578.36	2409.52
LF/HF (AR)	1.83	1.21	1.55	0.85	1.66	1.22	1.42	0.64	1.38	0.96	1.26	0.66	1.72	1.22	1.48	0.65
Breathing frequency	14.28	2.27	14.16	2.14	13.31	2.24	13.60	1.75	13.66	2.19	13.83	2.03	13.26	2.07	13.84	1.78

164 Note: SDNN= Standard deviation of NN intervals, RMSSD= Root mean square of successive RR interval differences, pNN50= Percentage of successive RR intervals that differ by
165 more than 50 ms, LF = low-frequency, HF = high-frequency, FFT = Fast Fourier Transform, AR = Autoregressive model
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171 **2.3 Intervention**

172 **2.3.1 Experimental group: Slow paced breathing**

173 Participants in the experimental group had to realize the slow paced breathing technique for 15
174 minutes before going to sleep, using the smartphone app “Breath Pacer”, displaying a flower slowly
175 adding petals to indicate inhalation (4.5s) and exhalation (5.5s) phases. Participants had to
176 inhale via the nose, and exhale via pursed lips. The respiratory pattern was based on previous
177 research investigating the influence of slow paced breathing on psychophysiological outcomes
178 [16,17].

179 **2.3.2 Control group: Social media use**

180 Participants in the control group had to use social media (e.g., Facebook, Instagram, Whatsapp)
181 for 15 minutes before going to sleep, in order to mirror a typical smartphone use with spontaneous
182 breathing. They were given no specific instructions related to breathing patterns.

183 **2.4 Procedure**

184 Participants were recruited via flyers at the local University. They were asked to come to the lab
185 for a presentation of the experiment (Day 1), and they were allocated randomly to either the
186 experimental group (slow paced breathing) or to the control group (social media use). They were told
187 that the study was about investigating the effects of a smartphone-based relaxation method on heart
188 rate during the night. Participants in the experimental group were given an introduction to the slow
189 paced breathing technique and were performing it for 15 minutes together with the experimenter,
190 ensuring that they understood correctly how to perform it at home. Participants in the control group
191 were given an introduction for the same duration about the relaxing effects of social media. All
192 participants also filled out the PSQI and the demographic questionnaire related to heart rate
193 variability from Laborde, Mosley and Thayer [3]. Participants had to come back to the lab at Day 2
194 between 4pm and 8pm in order to get the ECG device and electrodes attached. This day had to be a
195 weekday, to have participants following their usual daily activities and sleeping routines. They were
196 told that the last meal should be taken at least 2 hours before going to bed, and that afterwards only
197 drinking water was allowed. They were also not allowed to drink alcohol or have strenuous physical
198 activity on this day or the day before.

199 Participants were asked to have a similar day structure for both evaluation days (pre-test and
200 post-test), in order to provide the best comparison possible for heart rate variability measurements.
201 No intervention was performed in the evening preceding the pre-test and post-test night
202 measurements. Participants were told to start the device before going to bed, write down the time
203 when they went to bed, and then turn off the light. We are aware that lying in bed does not imply
204 that participants were sleeping, and that sleep onset latency may differ across participants, but this
205 variable has been assessed in previous studies [42] and represents a compromise when
206 polysomnography assessment is not available. In the morning participants had to write down waking
207 time, and stay in bed for 5 minutes after awakening for the morning awakening heart rate variability
208 measurement. During the 30 day intervention participants had to confirm every night via an online
209 form that they either did the slow paced breathing exercise for the experimental group or that they
210 used social media for the control group. In case participants forgot to do so, they were gently
211 reminded by a research assistant on the next day to continue with the procedure. The maximum of
212 misses was set to 3 (10%), more than 3 misses was then considered as a dropout. At the end of the
213 intervention, participants were coming back to the lab to get the ECG device for the post-test and
214 filled out again the PSQI. The post-test night and morning measurements followed the same
215 procedure as for the pre-test, and participants were asked to follow the same daily routine, as well as
216 having the same times to go to bed and to wake up. The next day the participants brought the device

217 back to the lab, and they were debriefed about the aim of the experiment. For the control group, the
218 debriefing included an introduction to the slow paced breathing technique.

219 2.5 Data analysis

220 Data analysis was realized with JASP (Version 0.9.2, JASP Team, 2018). Data was checked for
221 outliers and normal distribution. Outliers (± 3.27 SD) were winsorized (3.3%). Given the heart rate
222 variability data was not normally distributed it was log transformed (log10), as recommended by
223 Laborde, Mosley and Thayer [3]. Repeated-measures ANOVAs were conducted with time (pre-test
224 vs. post-test) as a within-subject variable, and with condition (experimental vs. control) as between-
225 subject variable. Dependent variables were time spent in bed, PSQI, CVA_{night} , breathing
226 frequency_{night}, CVA_{morning} , and breathing frequency_{morning}. When interaction effects were found,
227 we calculated four additional Student's t-tests to investigate the interaction effects, and therefore for
228 the post-hoc tests we adjusted the alpha level via Bonferroni correction to $.05 / 4 = .0125$. Finally, two
229 Pearson correlations were run between PSQI change and CVA_{night} change and between PSQI change
230 and CVA_{morning} change, with a significant threshold set to $0.5/2 = .025$. The change was obtained
231 subtracting the pre-test value from the post-test value. Effect sizes are indicated via partial η^2 and
232 Cohen's d .

233 3 Results

234 Regarding the time spent in bed, a repeated-measure ANOVA revealed no main effect of time,
235 $F(1,62) = 1.808, p = .184$, partial $\eta^2 = .03$, and no time x condition interaction effect, $F(1,62) = 0.651, p =$
236 $.423$, partial $\eta^2 = .01$.

237 3.1 PSQI

238 A repeated-measure ANOVA revealed no main effect of time, $F(1,62) = 0.070, p = .685$, partial η^2
239 $= .01$. An interaction effect time x condition was found, $F(1,62) = 9.744, p = .003$, partial $\eta^2 = .14$.
240 Concerning simple main effects for condition, there was no significant difference between the
241 conditions at pre-test, $t(62) = 0.449, p = .655, d = .11$, but there was a tendency for a difference between
242 the conditions at post-test (i.e., PSQI score lower for the experimental group in comparison to the
243 control group), $t(62) = 2.481, p = .016, d = 0.62$. Concerning simple main effects for time, there was a
244 significant difference between pre-test and post-test for the experimental group (i.e., PSQI score
245 decrease, indicating higher subjective sleep quality), $t(31) = 2.881, p = .007, d = 0.51$, but no significant
246 difference was found for the control group, $t(31) = 1.717, p = .096, d = 0.30$.

247 3.2 CVA_{night}

248 A repeated-measure ANOVA revealed a tendency for a main effect of time, $F(1,62) = 3.967, p =$
249 $.051$, partial $\eta^2 = .05$. An interaction effect time x condition was found, $F(1,62) = 16.449, p < .001$, partial
250 $\eta^2 = .20$. Concerning simple main effects for condition, there was no difference between the conditions
251 at pre-test, $t(62) = 0.793, p = .411, d = 0.20$ nor at post-test $t(62) = 1.383, p = .172, d = 0.35$. Concerning
252 simple main effects for time, there was a significant difference between pre-test and post-test for the
253 experimental group (i.e., CVA_{night} increase), $t(31) = 3.868, p < .001, d = 0.68$, but no significant difference
254 was found for the control group, $t(31) = 1.655, p = .108, d = 0.29$.

255 3.3 Breathing frequency_{night}

256 A repeated-measure ANOVA revealed no main effect of time, $F(1,62) = 0.065, p = .800$, partial η^2
257 $= 0$. A significant interaction effect time x condition was found, $F(1,62) = 4.279, p = .043$, partial $\eta^2 = .06$.
258 Concerning simple main effects for condition, there was no difference between the conditions at pre-
259 test, $t(62) = 0.296, p = .796, d = 0.02$ nor at post-test $t(62) = 0.059, p = .953, d = 0.02$. Concerning simple
260 main effects for time, there was no significant difference between pre-test and post-test for the

261 experimental group, $t(31) = 1.500, p = .004, d = 0.27$ or for the control group, $t(31) = 1.433, p = .162, d =$
262 0.25.

263 3.4 CVA_{morning}

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265 A repeated-measure ANOVA revealed no main effect of time, $F(1,62) = 0.360, p = .551$, partial η^2
266 = .01. An interaction effect time x condition was found, $F(1,62) = 6.533, p = .013$, partial $\eta^2 = .10$.
267 Concerning simple main effects for condition, there was no difference between the conditions at pre-
268 test, $t(62) = 1.001, p = .321, d = 0.25$ nor at post-test $t(62) = 1.163, p = .249, d = 0.29$. Concerning simple
269 main effects for time, there was a tendency for a difference between pre-test and post-test for the
270 experimental group (i.e., CVA_{morning} increase), $t(31) = 2.372, p = .024, d = 0.42$, but no significant
271 difference was found for the control group, $t(31) = 1.310, p = .200, d = 0.23$.

272 3.5 Breathing frequency_{morning}

273 A repeated-measure ANOVA revealed no main effect of time, $F(1,62) = 1.481, p = .228$, partial η^2
274 = .02, nor any interaction effect time x condition $F(1,62) = 1.247, p = .268$, partial $\eta^2 = .02$.

275 3.6 Relationships between PSQI and CVA

276 Finally, a significant correlation was found between PSQI change and CVA_{night} change ($r = -.29,$
277 $p = .018$), while a tendency was found regarding the relationship between PSQI change and
278 CVA_{morning} change ($r = -.24, p = .052$). The negative correlation reflects the fact that a decrease in PSQI
279 between pre-test and post-test is related to subjective sleep quality improvement, while an increase
280 in CVA between pre-test and post-test reflects an improvement in CVA functioning.

281 4 Discussion

282 This study was aimed to investigate the influence of a 30 day slow paced breathing intervention
283 (experimental group) in comparison to social media use (control group) on subjective sleep quality
284 via the PSQI and on night and morning CVA, operationalized via high-frequency heart rate
285 variability. Consistent with our hypotheses, subjective sleep quality and CVA_{night} was increased in
286 the experimental group but not in the control group, while there was only a tendency for CVA_{morning}
287 to display a pattern similar to CVA_{night}.

288 Regarding subjective sleep quality, confirming our hypothesis, scores on the PSQI significantly
289 decreased for the experimental group between pre-test and post-test, which reflects a better
290 subjective sleep quality in the group performing slow paced breathing. This is in line with the
291 expected relaxing effects of slow paced breathing [10,12,20] and also with findings demonstrating
292 that slow paced breathing can decrease subjective feelings of anxiety [31]. Finally, this result is in
293 line with the effects of slow paced breathing observed on objective sleep parameters in insomnia
294 patients [42].

295 Regarding CVA_{night}, confirming our hypothesis, there was a significant increase for the
296 experimental group between pre-test and post-test, while no changes were found in breathing
297 frequency, which means that CVA changes were not driven by changes in breathing frequency. This
298 result is in line with the resonance model [12] arguing that slow paced breathing increases vagal
299 afferences, and this is also in line with previous research showing that slow paced breathing
300 increases vagal afferences, and specifically CVA [16,31]. Following Werner and colleagues [37], we
301 do not argue that CVA_{night} represents an indicator of sleep quality, however we suggest that CVA_{night}
302 may still reflect some form of cardiovascular self-regulation and recovery processes based on the
303 restorative function of the parasympathetic nervous system [15], given during the night the
304 organism is much less under the influence of external factors. This view is also supported by
305 previous research showing a lower CVA_{night} in individuals with sleep disorders [32-34], and also by
306 research showing an association between higher CVA_{night} and higher subjective sleep quality [34-

307 36]. Finally, this is also complemented by our findings concerning the significant relationship
308 observed between PSQI change and CVA_{night} change (and the tendency observed with CVA_{morning}
309 change), reflecting an association between improvement in CVA functioning and improvement in
310 subjective sleep quality.

311 Regarding CVA_{morning} , our hypothesis is partially validated, given it displayed only marginally
312 a similar pattern to CVA_{night} , meaning there was a tendency ($p = .024$) in the experimental group to
313 display an increase in CVA_{morning} at post-test in comparison to pre-test, the effect size being lower
314 for CVA_{morning} ($d = 0.42$) in comparison to CVA_{night} ($d = 0.68$). While the arguments already mentioned
315 for CVA_{night} may also apply here, other processes may also be involved. More specifically, it has
316 been suggested that the role of CVA during wake periods is more relevant than during sleep given
317 a higher solicitation of self-regulation processes when the organism is awake [37].

318 The main strength of our study is the long-term (30 days) slow paced breathing intervention,
319 given most of the studies take only into account the short-term effects of slow paced breathing on
320 heart rate variability [e.g., 16,31,42]. Nonetheless, our study had some limitations. The main one is
321 that the investigation of slow paced breathing on sleep quality would require the use of the gold
322 standard, polysomnography [46], like it has been done like by Werner and colleagues [37]. However,
323 it should still be mentioned that there is no established definition for objective sleep quality, and
324 that sleep quality can refer to different variables measured with polysomnography [47]. Further,
325 investigating separately the sleep stages seem also required, given the differential activation of CVA
326 in REM and non-REM sleep [38]. Particularly, investigating CVA during slow wave sleep appears
327 promising [39,48-50], given slow wave sleep is (mostly) free of any external confounding events,
328 and is characterized by fewer body movements or arousals that provoke disruptions in the ECG
329 signal, therefore ensuring higher stationarity of the ECG signal. Although some algorithms are
330 being developed to identify sleep stages directly via heart rate variability measurements [49,50],
331 preliminary research testing the influence of slow paced breathing on sleep quality should definitely
332 consider the use of polysomnography [37,46]. Another limitation is that we did not control for
333 smartphone use before sleeping previously to the experiment. Moreover, we had no control group
334 with paced breathing instructions, like in Tsai, Kuo, Lee and Yang [42] where the control condition
335 involved breathing at 12 cpm (however the inhalation and exhalation phases were not specified).
336 Further related to the control group, social media use has been found to decrease sleep quality in
337 adolescents and young adults [51-53]. This was not found in our study - in our sample both PSQI
338 scores and CVA values did not change between pre-test and post-test for the control group. This
339 may potentially be because our participants already had habitual use of social media prior to
340 sleeping before being recruited for the experiment. Further research may investigate alternative
341 active control groups, as mentioned above with the 12cpm breathing condition [42]. Finally, the
342 present study tested young healthy individuals, and the findings cannot be generalized to other
343 populations.

344 5 Conclusions

345 In summary, this study was aimed to investigate the effects of a smartphone-based slow paced
346 breathing intervention (6 cpm) performed for a duration of 15 minutes before sleeping across 30 days,
347 compared to a control condition with participants using social media on their smartphone. Results
348 showed that in the experimental group subjective sleep quality was improved and CVA_{night} was
349 increased, while a marginal increase was also found in CVA_{morning} . Taken together, our results suggest
350 that slow paced breathing performed before sleeping may enhance restorative processes at the
351 cardiovascular level during sleep. Future research should investigate the effects of slow paced
352 breathing on sleep via polysomnography.



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